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**PATENT**  
Attorney Docket No. 086562-9003US-CON

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

James S.W. Lee

Application No. 10/692,627

Filed: October 24, 2003

For: TOY FIGURE WITH ARTICULATING JOINTS

Art Unit: 3728

Examiner: Francis, Faye

**COMMUNICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Please find enclosed a Power of Attorney to Prosecute Applications Before the USPTO (form SB/80) and a Statement Under 37 CFR 3.73 (b) (form SB/96) in connection with the above-identified application.

Respectfully submitted,

Barry W. Sufrin, Reg. No. 27,398  
GARDNER CARTON & DOUGLAS LLP  
191N. Wacker Drive, Suite 3700  
Chicago, Illinois 60606-1698  
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Date: January 5, 2005

In re Appln. of James S.W. Lee  
Application No. 10/692,627

CERTIFICATE OF MAILING

I hereby certify that this COMMUNICATION (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 5, 2005

  
Mark J. Sweetin

CH02/ 22359089.1



**STATEMENT UNDER 37 CFR 3.73(b)**

PTO/SB/96 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Former Docket No. 200801-9014  
New Attorney Docket No. 086562-9003US-CON

Applicant: James S.W. Lee et al.

Application No.: 10/692,627

Filed: 10/24/03

Title: TOY FIGURE WITH ARTICULATING JOINTS

C.J. Associates, Ltd.

a \_\_\_\_\_

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014637 Frame 0570, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

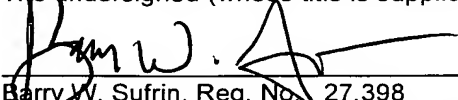
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, ☐ or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (*i.e.*, a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08].

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Barry W. Sufrin, Reg. No. 27,398  
One of the Attorneys for the Applicant(s)  
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1/5/05  
Date

Customer No.:

**08968**

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (any by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

PTO/SB/80 (11-04)

Approved for use through 11/30/2005. OMB 0851-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

The Assignee hereby revokes all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

The Assignee hereby appoints

☒ Gardner Carton & Douglas LLP, Customer Number:

08968

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address with the Customer Number:

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OR

<input type="checkbox"/> Firm or Individual Name			
Address			
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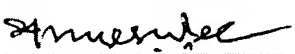
**Assignee Name and Address:**

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Hungghom, Kowloon  
HONG KONG

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/98 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	Dec. 18 2004
Name	James S. W. Lee	Telephone:	011-852-2364-4323
Title	President		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.